University of Liverpool Open Access Publication Policy

The University of Liverpool is committed to disseminating the outputs of its research and scholarship as widely as possible. In keeping with that commitment, the University has adopted the following policy. The policy applies to all researchers with a University of Liverpool contract and all University of Liverpool registered research students.

1. The University of Liverpool supports the academic freedom of academics to choose the best publication venue for their research outputs, regardless of the journal’s open access status, so long as there is no breach of the terms and conditions of the research funder.

2. The University of Liverpool acknowledges the benefits of open access publication in terms of greater visibility, potential for more rapid dissemination, and greater research impact. In addition, both authors and the University face open access compliance issues from a wide range of funders, including HEFCE (which requires all journal articles and conference proceedings returned to REF, published after April 1st 2016, to be open access). The University therefore wishes to facilitate open access publication whenever possible.

3. The University of Liverpool remains neutral to the route by which open access is achieved, supporting both green and gold routes (funding permitting).

4. The University of Liverpool has a mandatory position that the author accepted manuscript of all journal articles and conference proceedings must be deposited in, or be made accessible through, the University Repository (via Liverpool Elements), in line with the REF policy. Such full text deposits can be embargoed if required, while metadata about those items will always be available. The policies for data re-use from the University Repository are outlined in the Repository Data Policy.

5. To reinforce the mandatory position for all journal articles and conference proceedings to be deposited or made accessible through the University Repository, only compliant outputs will be considered in PDR and progression discussions at the University.

6. The University of Liverpool will maintain the record of all publication details submitted to University systems during the employment period of any member of staff, to maximise the on-going visibility of research outputs and create a historical record of work carried out by both present and past members of staff. This does not preclude the up-loading of these same references into the repository of a new institution should staff move on.

7. The University of Liverpool supports green open access through deposition in the University Repository. To comply with HEFCE open access requirements it is mandatory that all journal articles and conference proceedings are deposited in the University Repository as soon as possible after acceptance and no later than 3 months after acceptance. If an article is immediately available on a gold open access

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1 Explanations of important open Access terms and concepts are available at http://libguides.liv.ac.uk/openaccess/oaterms
basis we can apply an exception to the REF policy, and only a link to the gold open access version need be added in Liverpool Elements.

8. To assist corresponding authors in their negotiations with publishers that do not allow the green self-archiving of journal articles within the timeframe set by their funder, the University has produced a Agreement. This can be used by corresponding authors who wish to assert to publishers their right to make a copy of their own work available through the University Repository in a fashion that complies with the open access policies of the funders of their research. It ensures that researchers retain copyright of the final author version (post print) of their manuscript, enables them to create derivative works for professional activities, and enables them to comply with their funder requirements for open access via deposit in the University Repository. Use of this addendum is not compulsory, but is encouraged if publishers insist on long embargo periods.

9. The University of Liverpool is in receipt of block funds to pay article processing charges to enable gold route open access for the publication of work funded by RCUK and the medical charities associated with COAF (at present the Wellcome Trust, Arthritis Research UK, Bloodwise, British heart Foundation, Cancer Research UK, and Parkinson's UK). As no eligible request has had to be turned down to date, these funds will be available on a first come first served basis. Should demand outstrip funding in the future a criteria-based process to ensure fair access and output prioritisation may have to be introduced. Details on how to obtain this funding can be found on the library website.

10. The University of Liverpool acknowledges that there will be papers that require immediate gold open access publication to realise their full impact in fast moving and competitive fields. As such, in addition to the externally-received funds mentioned above the University provides an institutional fund to pay article processing charges for articles arising from research funded from sources other than the Research Councils and COAF partners.

11. The University of Liverpool supports the use of the of the most liberal re-use licence available, most commonly the Creative Commons Attribution licence. It should be noted that access to funder block grants and the institutional open access fund requires the use of a creative commons attribution licence (CC-BY) or a more liberal licence (see the information on Creative Commons on the Copyright and Open Access web page).

12. The University of Liverpool encourages the inclusion of article processing charges in research grants whenever possible if the author wishes to pursue immediate open access through the gold route.

13. The University of Liverpool acknowledges that the scholarly publishing landscape is evolving at a rapid pace, and therefore this policy will undergo regular review (at a minimum, annual review).